# DCASE 2020 CHALLENGE TASK 1B: LOW-COMPLEXITY CNN-BASED FRAMEWORK FOR ACOUSTIC SCENE CLASSIFICATION

**Technical Report** 

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#### ABSTRACT

This report presents a low-complexity CNN-based deep learning framework for acoustic scene classification task (ASC). In particular, the framework approaches spectrogram representation referred to as front-end feature extraction. The spectrograms extracted are fed into a CNN-based architecture for classification, referred to as the baseline. Next, quantization and pruning techniques are applied on the pre-trained baseline to fine-tune and further compress the network size, eventually achieve low-complexity models with competitive performance.

*Index Terms*— Convolutional Neural Network (CNN), pruning, quantization, mixup data augmentation, spectrogram, Gammatone filter.

## 1. INTRODUCTION

Deep Learning has become main approach for various research fields such as computer vision, natural language processing, and recently emerging research field named "machine hearing" [1]. As regards acoustic scene classification (ASC), one of main tasks of "machine listening", CNN-based network architectures have surpassed human performance [2]. However, the state-of-the-art systems have come at an increasing cost of computation due to complex model used, which makes challenge for edge applications. Indeed, the summary of system characteristics [3] reported in recent DCASE 20219 indicated that almost top-ten performance architectures proposed exceed 6 M non-zero parameters. Even some systems presented very complex models that used more than 100 M non-zero parameters. To deal with this challenge, model compression techniques have drawn increasing attention in recent years. Two main approaches of compression are quantization and pruning. Recently, Tensorflow framework 2.0 provides a complete guide for both the compression methods mentioned [4]. Though such model compression techniques have been widely studied in machine learning and computer vision communities, they have less investigated in audio tasks.

In this report, we firstly propose a deep learning framework with low-complexity CNN-based model for the ASC task, referred to as the baseline. Next, we adopt the quantization and pruning

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techniques provided by Tensorflow [4] to further compress and fine-tune the pre-trained CNN baseline. We use DCASE 2020 Task 1B dataset to evaluate the framework with/without using these compression techniques and compare to DCASE baseline.

## 2. DCASE 2020 TASK 1B DATASET

The DCASE 2020 Task 1B dataset [5] was recorded by a single device namely A with binaural channel and sample rate of 48kHz. The dataset comprises of 10 acoustic scenes that are grouped into three main contexts of indoor (airport, metro-station, and shopping-mall), outdoor (park, public-square, street-pedestrian, street-traffic), and transportation (bus, metro, tram). In this report, we obey DCASE 2020 challenge, separate development set into training and test subsets used for training and testing processes, respectively. The accuracy on the test subset is reported.

## 3. CNN-BASED FRAMEWORK ARCHITECTURE



Figure 1: The high-level architecture and processing sequence of the proposed framework.

Table 1: Setting of spectrogram transformation.

Factors	Setting
Spectrogram	Gammatone
Window size	2048
Hop size	1024
FFT number	4096
Filter banks number	128
Min frequency	10 Hz

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Table 2: CNN-based network architecture

Architecture	layers	Output
	Input layer (entire spectrogram)	$128 \times 428$
Conv. Block 01	Bn - Cv [3×3] - Relu - Bn - Mp [2×4] - Dr (20%)	$64 \times 107 \times 32$
Conv. Block 02	Bn - Cv [3×3] - Relu - Bn - Mp [2×2] - Dr (25%)	$32 \times 54 \times 64$
Conv. Block 03	Bn - Cv [1×1] - Relu - Bn - Mp [2×4] - Dr (30%)	$16 \times 13 \times 128$
Conv. Block 04	Bn - Cv [1×1] - Relu - Bn - Gmp - Dr (35%)	256
Dense Block	Fl - Softmax layer	3

The proposed framework is described in Fig. 1. Initially, raw audio signal from the channel 1 is transformed into Gammatone spectrogram (Gamma) [6] with parameters summarized in Table 1. Then, mixup data augmentation [7, 8] is applied on entire spectrograms of  $128 \times 428$  to generate new spectrograms. Next, the mixup spectrograms are fed into a CNN-based network.

The CNN-based network configured as Table 2 comprises four Conv. blocks and one Dense block, which are performed by Convolutional layer (Cv[kernel size]), Rectified Linear Unit (Relu), Batch normalization (Bn), Max pooling (Mp[kernel size]), Global max pooling (Gmp), Drop out (Dr(Drop ratio)), Fully connected layer (Fl), and Softmax layers.

After training the CNN-based network, two compression techniques of 8-bits training aware quantization and pruning mentioned in TensorFlow Model Optimization Toolkit [4] are applied to finetune the pre-trained CNN-based network, thus achieve lower complexity models, but remain competitive performance.

## 4. HYPERPARAMETER SETTING

The CNN-based network implemented use Keras framework. Network training makes use of the Adam optimiser [9] with 100 training epochs, a mini batch size of 100. As using mixup data augmentation makes labels are no longer one-hot encoded, Kullback-Leibler (KL) [10] divergence loss is therefore used as,

$$L_{KL}(\theta) = \sum_{n=1}^{N} \mathbf{y}_n \log\left\{\frac{\mathbf{y}_n}{\hat{\mathbf{y}}_n}\right\} + \frac{\lambda}{2} ||\theta||_2^2.$$
(1)

where  $\theta$  denotes the trainable network parameters and  $\lambda$  denote the  $\ell_2$ -norm regularization coefficient, set to 0.0001. N is the batch number,  $\mathbf{y}_i$  and  $\hat{\mathbf{y}}_i$  denote expected and predicted results, respectively.

#### 5. EXPERIMENTAL RESULTS

Table 3: Performance compared to DCASE 2020 Task 1B baseline

System	Acc.(%)	Non-zero para. (KB)
DCASE 2020	87.3	450.0
CNN network	93.0	245.5
CNN network w/ quantization	91.9	61.5
CNN network w/ pruning	90.5	122.8

As obtained results showed in Table 3, the CNN-based network proposed outperforms DCASE baseline, recording an improvement of 5.7%. As regards the complexity, our network's size is nearly a half of DCASE baseline. Further compressing the CNN network by using quantization and pruning techniques, we achieve compressed networks of 61.5 KB and 122.8 KB and remain outperformed accuracy of 91.9% and 90.5%, respectively.

In conclusion, we have resented a CNN-based framework applied for ASC task. Thank to Tensorflow framework, we can achieve compressed and outperformed CNN-based networks compared to DCASE 2020 Task 1B baseline.

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